Receipt date: 07/12/2004 Sheet 1 (A) of 3 SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE SAFL/24 10/766,551 INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT Gregory C. Jensen et al. JUL 1 2 2004 FILING DATE **GROUP** (Use several sheets if necessary) CFR 1.98(b)) January 28, 2004 3621 MADEMAR **U.S. PATENT DOCUMENTS EXAMINER** ISSUE FILING DATE IF APPROPRIATE INITIAL PATENT NUMBER DATE **PATENTEE CLASS SUBCLASS** /J.C./ A.A 5 9 6 8 9 8 6/24/1986 Pemmaraju 380 45 3/14/1984 /J.C./ A.B 5 4 9 1 7 9 6 2/13/1996 Wanderer et al. 709 224 10/23/1992 A.C A.D A.E A.F A.G A.H A.I A.J A.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS TRANSLATION DOCUMENT **PUBLICATION** COUNTRY OR **CLASS SUBCLASS** NUMBER DATE PATENT OFFICE (YES/NO) A.L A.M A.N A.O A.P A.Q

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

A.R BATACHIA, Ion Leon, et al., Interface between vendors and customers that uses Intelligent Agents, U.S. Patent Application Publication No. US-2002-0116349-A1 published August 24, 2002; Application Serial No. 09/865,111, filed 5/24/2001.

A.S ARMINGTON, John Phillip et al., Robust Multi-Factor Authentication for Secure Application Environments, U.S. Patent Application Publication No. US-2003-0163739-A1, published August 28, 2003; Application Serial No. 10/086,123, filed 2/28/2002.

A.T ROLFE, Andrew R., Digital Certificate System Incorporating Voice Biometric Processing, U.S. Patent Application Publication No. US-2004-0010698-A1, published January 15, 2004; Application Serial No. 10/488,253, filed 5/29/2003

EXAMINER

/Jacob Coppola/

DATE CONSIDERED

06/27/2008

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449 (MODIFIED)						U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE					ATTY. DOCKET NO. SAFL/24			SERIAL NO. 10/766,551		
					TION DISCLOSURE NT BY APPLICANT sheets if necessary)						APPLICANT Gregory C. Jensen et al.					
(37 CFR 1.98	(b))	(Us	e seve	eral st							FILING DATE January 28, 2004			GROUP 3621		
							U.S	. PATENT	DOCUMENTS							
EXAMINER INITIAL			TENT	r NII IN/	IDED	,	ISSUE PATENTEE				CLASS	SUBC	CLASS	FILING DAT		
INITIAL	B.A	PATENT NU		NOIV	IBEN		DATE	 	PATENTEE		CLASS					
	B.B	 	++						<u> </u>			-				
	+	++	\vdash		Н			-								
	B.C	++-	++		Н							-				
	B.D		++	-	Н											
	B.E		\sqcup	- -	\sqcup							-	· -	•		
·	B.F		\sqcup						*			-				
	B.G										_	ļ				
	B.H	1														
	B.I			\perp	Ш							ļ				
	B.J															
	в.к										ļ					
			ı	FORE	IGN	PATE	ENTS OR P	UBLISHE	D FOREIGN PA	ATENT A	APPLICATION	NS				
			OCUM NUMB			Pι	JBLICATIO DATE		COUNTRY OF		CLASS	SUBCI	LASS	TRANSLATIO (YES/NO)		
	B.L															
	B.M															
	B.N													•		
	B.O															
	B.P															
	B.Q															
	<u> </u>			отн	ER C	OCU	JMENTS (In	cluding Au	ithor, Title, Date	e, Place	of Publication	n)				
/J.C./	B.R	Laura www.b	Guevi	in, <u>Stri</u>	king .com	While /featu	e The Iron I	s Hot, Tec ok042903.	hnology Market htm, 3 pages.	ing Corp	poration (April	29, 2003	3); downlo	paded 7/7/2004		
	B.S	Berlind, David, "Is that a biometric device in your pocket?", StrikeForce Technologies, Inc. Press Release of May 23, 2003 www.sftnj.com/pressreleases2.asp from Yahoo! Search of 6/9/2004, 3 pages.														
/J.C./	В.Т	QT Worldtel Inc., C.O.B.A.S Centralized Out-Of-Band Authentication System: Authentication Security for the 21st Century, Southeast Europe Cybersecurity Conference, Sophia, Bulgaria, September 8-9, 2003, 14 pages.														

(e	ceipt date: 07/12/2004			Sheet 3 (C)	of 3			
	SUBSTITUTE FORM PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. SAFL/24	SERIAL NO. 10/766,551	<u>"</u>			
•		NDISCLOSURE BY APPLICANT	APPLICANT Gregory C. Jensen et al.					

		S	TATEM	ENT BY	APPL	ICANT			Ľ	Grego	y C. Jensen	et al.		
(37 CFR 1.98	cessary)		FILING DATE January 28, 2004					GROUP 3621						
						U.S. P	ATENT	DOCUMENTS	;					
EXAMINER INITIAL		PATENT NUMBE			ISSUE R DATE			PATENTEE		CLAS		SUBC	CLASS	FILING DATE IF APPROPRIATE
	C.A													
	C.B													
	C.C													
	C.D													
	C.E							<u>.</u>					-14	
	C.F													
	C.G													
	C.H													
	C.I													
	C.J													
	C.K													<u></u>
			F	OREIGN	PATE	NTS OR PUB	LISHED	FOREIGN PA	AΤΕ	ENT A	PPLICATION	٧S		
			CUME IUMBEI		PU	IBLICATION DATE	F	COUNTRY OF			CLASS	SUBCL	ASS	TRANSLATION (YES/NO)
	C.L													
	С.М													
	C.N													
	C.O						ļ							
	C.P						ļ							
	C.Q				L									
	· · · · · ·		(OTHER C	OCU	MENTS (Includ	ding Aut	thor, Title, Date	e, P	Place o	of Publication	1)		
/J.C./	C.R	C.R Krebsbach, Karen, "Security: Firms Flirt with Out-of-band Authentication; Banks Weigh Whether this Cumbersome Technology is Worth the Bother for Consumers, Particularly for On-line Banking", <u>Bank Technology News</u> , June 1, 2004, 5 pages.												
	C.S													
	C.T													
EXAMINER	/	Jacob	Сорр	ola/				DATE CONS	IDE	ERED	06/2	27/2008		

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.